Type 1: Focus Groups

Description

A focus group discussion is conducted with small groups according to a protocol that focuses on specific topics, procedures, and questions. Focus group discussions make it possible to gather information from a large number of people in a short time.

Focus groups can help program planners get an idea of the needs of potential program participants. Program planners can systematically examine the participants' comments to identify trends or patterns that recur among different individuals or groups.

Discussion questions

1. How might this type of needs assessment be useful in your program?

2. When would you use this type of needs assessment?

3. How might you go about setting up this type of needs assessment for your program?

Sample focus group questions for a new family literacy program

For potential participants:

- Why do you want to study English?
- What do you need to read in English?
- Where do you need to speak English?
- Who do you need to speak English to?
- How often do you read with your child? (How often do you tell him/her a story; teach him/her letters, words, numbers; teach him/her songs or music; talk with him/her about family history, family culture, or ethnic heritage?)
- How often do you visit your child's school (to talk to your child's teacher or principal; to observe classroom activities; to attend a school event such as a play, art show, or party; to meet with a parent-teacher organization; to volunteer; for other reasons)?
- What are good times to offer English classes?

For school representatives:

- What languages do parents speak in your district?
- What challenges do you face communicating with the non-English-speaking parents in your district?
- What systems does the school/school district have in place to facilitate non-Englishspeaking parents' involvement in school activities?
- How might the school/school district be able to support a family literacy program (offer space, host events, involve the children's teachers, etc.)?

Type 2: Questionnaires of Learners' Needs and Goals

Description

Questionnaires frequently consist of a list of topics, skills, or language and literacy uses. The learners indicate what they already know or what they want to know by checking the appropriate column or box, or they may use a scale to rank the importance of each item.

For beginning learners who do not read English, pictures depicting different literacy contexts can be shown, and learners can mark the contexts that apply to them. The list of questionnaire items may be prepared ahead of time by the teacher or generated by the students themselves through class discussion. Note: The sample questionnaires below are models; teachers need to adjust or develop forms for their own students. It is recommended that teachers create a folder of these forms to keep for future use.

Discussion Questions

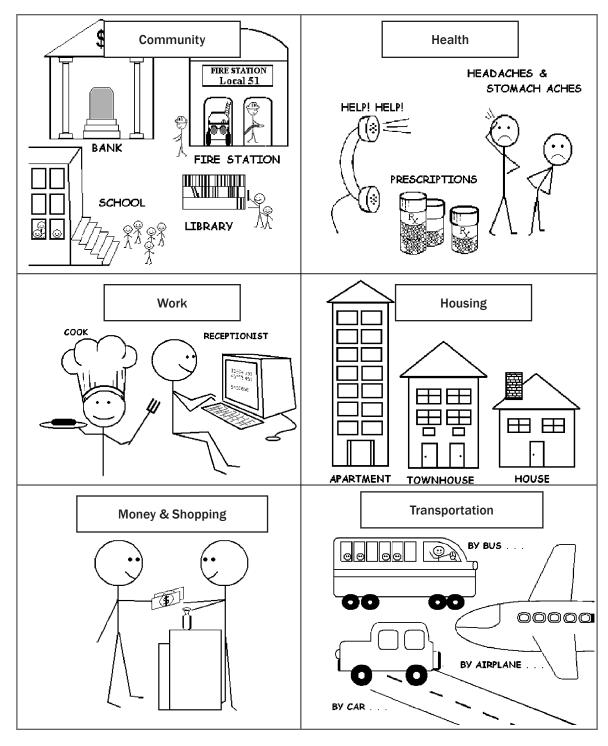
1. How might this type of needs assessment be useful in your class?

2. When would you use this type of needs assessment?

3. What could you use as a guide in determining what questions to include in the questionnaire?

Figure 1. Questionnaire for Beginning-Level Learners

What do you want to study? Circle three topics.



Note: Adapted from National Center for Family Literacy & Center for Applied Linguistics. (2004). *Practitioner toolkit: Working with adult English language learners*. Louisville, KY & Washington, DC: Author.

Table 1. Questionnaire for Beginning/Intermediate-Level Learners

Name	Date	
What do you already know l	how to read in English?	
What do you want to learn t	to read? (Check ✓)	
	Already know how to read	Want to learn how to read
Newspapers/magazines		
GED textbooks		
Job ads		
Job applications		
Signs at work		
Checks from work		
Housing ads		
Notes from school		
Children's books		
Income tax forms		
Bank statements		
Bus and train schedules		
Dictionaries		
Labels on food		
Labels in clothes		
Medicine labels		
Telephone/utility bills		
Other		
Other		

Table 2. Questionnaire for Intermediate-Level Learners: Family Activities

<u>Purpose</u>: To identify literacy practices in the home, record parent-child interactions, and provide a baseline for documenting changes over time.

Process: As part of either a whole-group or a small-group discussion, have learners discuss activities they currently do with their children. Give the learners the following prompt: "Parents and children can do many things together. They go to the park on Sunday, go fishing, cook, clean the house, go hiking, watch TV, work in the garden, or look at magazines. In many families, parents help their children with homework or check their assignments. What do you do with members of your family?" Record their responses on the lines below.

Luisa and her husband go to church together on Sundays.

Then they watch their son Marcos play soccer.

Note: From Holt, D. D., & Van Duzer, C. H. (Eds.). (2000). Assessing success in family literacy and adult ESL (p. 83). Washington, DC: Center for Applied Linguistics. Adapted with permission.

Name	Date
 Why do you need to learn more situations that are difficult for y 	e English? Please be specific. Give examples of you in English.
2. What specific areas of English	would you like to improve before you leave this class?
3. When people speak English to	you, how much do you understand? Check the amount.
everything most	somea littlevery little
4. When you watch TV, how much	do you understand? Check the amount.
everything most	some a little very little
5. When you speak English, how r	nuch do other people understand?
everything most	some a little very little
-	rom 1 to 6. Number 1 is the most important and it to you at this time. Please use each number only
Reading	Writing
Listening	Speaking
Vocabulary	Pronunciation

Table 3. Questionnaire for Intermediate/Advanced-Level Learners

Note: From Moss, D. (1994). Alternative assessment: A fork in the road. Presented at TESOL. Adapted with permission.

Type 3: Inventories of Language and Literacy Use

Description

Learners keep lists of the ways they use language and literacy and update them periodically. Inventories may consist of a checklist or open-ended questions. Teachers can use the information from the inventories to tailor their classes to the needs of their students.

Discussion Questions

1. How might this type of needs assessment be useful in your class?

2. When would you use this type of needs assessment?

3. Why is it helpful to know how students are using English outside the classroom?

Sample Inventory 1

Table 4. Language Log for Beginning-Level Learners

Name	Date
Where did you speak English this week?	
Who did you speak English to?	
What did you read in English this week?	
What did you need to study this week?	
This week,	
This week,	was easy in class.
This week,	was easy in class.

Note: From Moss, D. (1994). Alternative assessment: A fork in the road. Presented at TESOL. Adapted with permission.

Sample Inventory 2

Table 5. Family Events Log for Beginning-Level Learners

Sample 2: Beginning-Level Family Events Log

Process: Ask the learners to record the activities they do with their children each week. Then have them discuss this record with a partner. At key points in the class cycle, meet with learners to compare their list with their planned activities. Then discuss the plan again and renegotiate, if appropriate.

Name	Week of
This week I	
helped my son with his math.	

Note: From Holt, D. D., & Van Duzer, C. H. (Eds.). (2000). Assessing success in family literacy and adult ESL (p. 87). Washington, DC: Center for Applied Linguistics.

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Table 6. Beginning-Level Language Use Inventory

Process: Give the forms on the next two pages to the students. Display this handout on an overheard transparency or PowerPoint slide. As a large group activity, go over the handout, offering suggestions and asking for examples from the students. Have students work on their handout individually, then have them form pairs and interview each other using the second form.

Write YOUR answers below.

	Who did you speak to?	What did you say?	Was it easy? Difficult?
At home?			
At work?			
At the store?			
Another place?			

Now Write your PARTNER'S answers.	nswers.		
	Who did you speak to?	What did you say?	Was it easy? Difficult?
At home?			
At work?			
At the store?			
Another place?			
Note: From Moss, D. (1994). Alternative ass	Note: From Moss, D. (1994). Afternative assessment: A fork in the road. Presented at TESOL. Adapted with permission.	. Adapted with permission.	

Table 7. Beginning-Level Language Use Inventory (Part 2)

Sample Inventory 4

Table 8. Can-Do List for Self-Assessment for Intermediate to Advanced Levels

Name_____ Date _____

Put a checkmark (\checkmark) in the box that best describes you (one \checkmark for each row).

Here's what I can do.	l can do this. No problem.	I do OK most of the time, except when things are complicated.	This is a little difficult for me, but I can do it with some help from others.	This is very difficult for me. I can only do it with a lot of help from others.	l can't do this. No way. It's much too difficult.
Talk about my country and my city with a friend or neighbor					
Ask for directions on the street or ask where something is in a store					
Ask someone to speak more slowly or to say things in a different way					
Fill out a form (name, birth date, address, phone)					
Explain about myself and my work in a job interview					
Understand the notes that my child's teacher sends from school					
Figure out my phone bill or electricity bill					
Explain to the doctor in detail what's wrong with me					
Pick a story in the newspaper and read it					
Understand the news on TV					

Note: Adapted from Holt, D. D., & Van Duzer, C. H. (Eds.). (2000). Assessing Success in family literacy and adult ESL (p. 95). McHenry, IL & Washington, DC: Delta Systems & Center for Applied Linguistics.

Type 4: Timelines

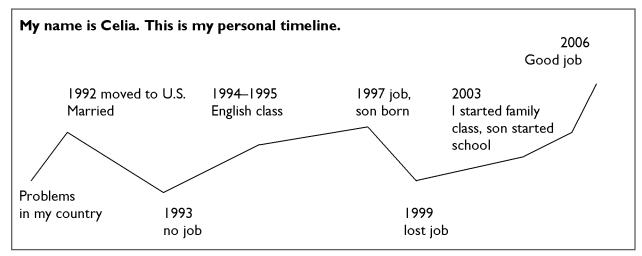
Description

With this kind of assessment, learners make written or pictorial timelines indicating major events in their lives (past and present). They also indicate future goals. Timelines help the teacher become more aware of learners' backgrounds. Class discussion should focus on the learners' goals and how the class can help them attain their goals.

Discussion Questions

- 1. How might this type of needs assessment be useful in your class?
- 2. When would you use this type of needs assessment?
- 3. Why is it important to know about students' past experiences?

Figure 2. Sample Timeline



From Lynda Terrill, adult ESL teacher (Arlington Education Employment Program, Arlington, VA), 2004. Used with permission.

Type 5: Brainstorming

Description

Through brainstorming, learners generate an inventory of topics, needs, or language use. Small or whole groups may create a **learner-generated list** of how they use skills (e.g., Where do you speak/write English?). The list may be used to create a questionnaire that individuals complete.

Another brainstorming method is **mind mapping.** Beginning with a topical question at the center of a diagram, the class brainstorms answers. Responses and more-detailed examples are added to the diagram and drawn as branches from the center. A count is taken of how many learners agree with each need identified in the diagram. Figure 3 on the following page is a sample only of what a completed mind-mapping exercise might look like.

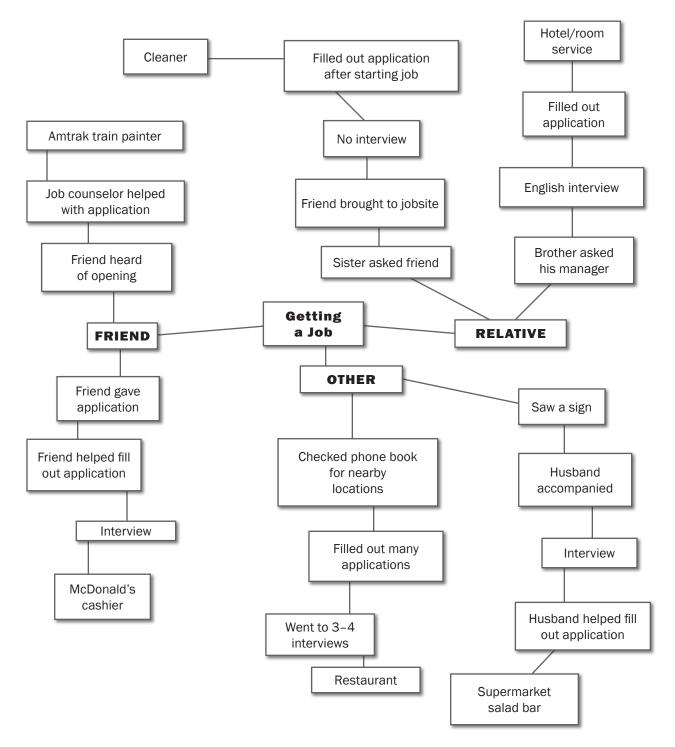
Discussion Questions

1. How might this type of needs assessment be useful in your class?

2. When would you use this type of needs assessment?

3. How would you use information gathered from this needs assessment to determine next steps?





Note: From Grant, S., & Shank, C. (1992). *Discovering and responding to learner needs: Module for ESL teacher training.* Arlington, VA: Arlington Education Employment Program. Adapted with permission.

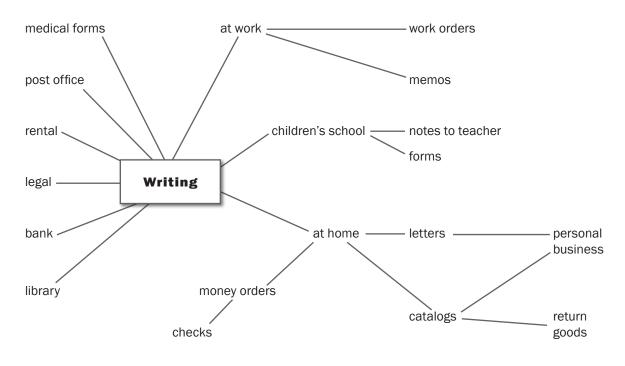
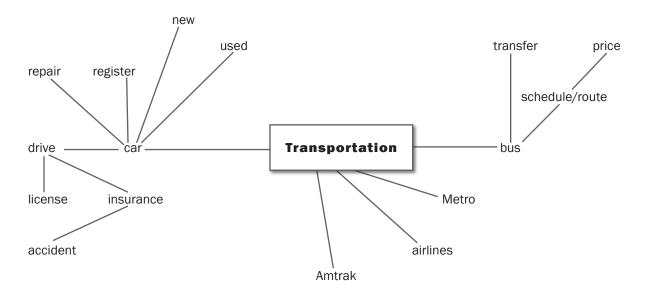


Figure 4. Sample Mind Mapping 2: Writing

Figure 5. Sample Mind Mapping 3: Transportation



Note: From Grant S., & Shank, C. (1992). *Discovering and responding to learner needs: Module for ESL teacher training*. Arlington, VA: Arlington Education Employment Program. Adapted with permission.

Table 9. Needs As	Table 9. Needs Assessment Tools Chart		Page 1 of 4
Tool	Description	Purpose	Analysis
Initial Assessment:	Initial Assessment: Planning a Program or Curriculum		
Surveys	Surveys may consist of a written questionnaire or checklist that is completed by prospective program participants or administered orally by bilingual staff members in English or prospec- tive participants' native language.	Survey results help create a general picture of the needs of adults who may be interested in the program.	Program planners can system- atically examine respondents' answers to identify needs that should be targeted.
Focus groups	A focus group discussion is conducted with small groups according to a protocol that focuses on specific topics, procedures, and questions.	Focus group discussions help staff members gather information from a large number of people in a short time. Focus groups can help program planners understand the needs of potential program participants.	Program planners can systemati- cally examine the participants' comments to identify trends or patterns that recur among differ- ent individuals or groups.
Interviews	Program staff can conduct individual inter- views of existing service providers to obtain detailed information about setting up a program.	Interviews help determine what services already exist for learners and the relative effectiveness of those services.	Program planners can use inter- views as an additional source of information about learners' needs and to understand the level of assistance available to meet those needs.
Document and literature reviews	Data can be gathered from school district records, including test scores, grades, and attendance records of participants' children; welfare department statistics on the socio- economic status of participants; and journals, newsletters, books, and state and national reports that include information about the literacy-related needs of participants.	Document and literature reviews can pro- vide useful information about the specific needs of targeted participants.	Program planners can examine the data to identify trends or pat- terns that recur among different individuals or groups.

Table 9. Needs As	Table 9. Needs Assessment Tools Chart (continued)		Page 2 of 4
Tool	Description	Purpose	Analysis
Classroom Needs Assessment	ssessment		
Information grid	Learners interview each other to complete the grid. Topics and headings for grids are generated by the teacher and/or the learners.	Grids provide initial, pretopic, midcourse, and final information about the learn- ers and their experiences, needs, and preferences. Grids can be adapted for various levels by controlling language or using pictures for literacy-level learners.	Results can be tabulated orally or on a master grid on an overhead or blackboard. If appropriate to the grid, the group prioritizes skills and topics to be covered.
Topic selection	Learners are given a list or a collection of visuals indicating skills, topics, or subtopics, either specified by a curriculum or developed by brainstorming.	Topic selection provides initial, midcourse, or pretopic information about learners' highest priority needs with regard to com- petencies, skills, and topics.	Teacher and class become aware of high-priority needs. Together they negotiate the selection and ordering of the course content.
1. Priority cards	 Individuals or small groups create cards, each containing one skill or topic. The cards are placed in order of importance. 		 Individuals or small groups report their top priorities and the class reaches a consensus.
2. Vote with your feet	 Names or pictures of skills or topics are posted around the classroom, and individu- als move to stand near the most important choice. Several rounds of voting may occur. 		 At each round of voting, teacher counts learners who chose a particular skill or topic. A class consensus is reached.

Table 9. Needs As	Table 9. Needs Assessment Tools Chart (Continued)		Page 3 of 4
Tool	Description	Purpose	Analysis
Brainstorming			
1. Learner- generated list	 Small or whole groups generate lists of how they use skills (e.g., Where do you speak/write English?). 	 Learner lists provide initial and ongoing informa- tion about how learners use basic skills. 	 The list may be used to create a questionnaire that individuals com- plete. The questionnaires can be tallied orally as a group. Identified needs can be prioritized.
2. Mind mapping	 Beginning with a topical question at the center of a diagram, class brainstorms answers. Responses and more- detailed examples are added to the diagram and drawn as branches from the center. 	2. Mind mapping provides initial and pretopic informa- tion about how learners use basic skills and life skills in a variety of settings and how they would like to be able to use skills.	 A count is taken of how many learners agree with each identi- fied need. Identified needs can be prioritized as a group.
Questionnaires of learners' needs and goals	Questionnaires frequently consist of a list of topics, skills, or language and literacy uses. The learners indicate what they already know or what they want to know by checking the appropriate column or box, or they may be asked to use a scale to rank the importance of each item. For beginning learners who do not read English, pictures depicting different literacy contexts can be shown, and learn- ers can mark the contexts that apply to them. The list of questionnaire items may be prepared ahead of time by the teacher or generated by the students themselves through class discussion.	Questionnaires provide initial, pretopic information about how learners use language and would like to be able to use language.	Results can be tabulated orally or by small groups. Based on results, the group prioritizes skills and topics to be covered.

Table 9. Needs As	Table 9. Needs Assessment Tools Chart (Continued)		Page 4 of 4
Tool	Description	Purpose	Analysis
Timelines	Learners make written or pictorial timelines that indicate major events in their lives (past and present). They also indicate future goals.	Timelines provide initial information about learners' lives and their goals for the future.	Information can be used to tailor the class toward helping learners achieve their goals.
		As a final evaluation, learners indicate progress toward their goals.	Teacher becomes more aware of learners' backgrounds. Class discussion should focus on the learners' goals and how the class can help them attain their goals.
Dialogue journals	Teachers and learners correspond on a regu- lar basis via a written journal. Teacher can ask learners to respond to specific questions in their journals (e.g., What would you like to learn in this class? What did you like best about class this week? What do you still need to learn?).	Dialogue journals provide initial, ongo- ing, and final information about learners' learning needs and preferences.	Teachers can respond to individual learners about their needs, goals, and preferences and adapt the course as appropriate.
	The activity can be adapted to varying levels by controlling the language of the questions and of the responses expected.		
Inventories of language and literacy use	Checklists may be used, as well as open- ended questions requiring learners to keep lists of ways they use language and literacy and update them periodically.	Inventories provide initial, ongoing, and final information about learners' needs and progress.	Teachers can use the informa- tion from the inventories to tailor their classes to the needs of their students.
Note: From Grant, S., & Sh permission. Also from Holt, D. D., & Var	Note: From Grant, S., & Shank, C. (1992). <i>Discovering and responding to learner needs: Module for ESL teacher training</i> . Arlington, VA: Arlington Education Employment Program. Adapted with permission. More from Holt, D. D., & Van Duzer, C. H. (Eds.). (2000). Assessing success in family literacy and adult ESL. Washington, DC: Center for Applied Linguistics.	: <i>Module for ESL teacher training.</i> Arlington, VA: Arlington acy and adult ESL. Washington, DC: Center for Applied Li	Education Employment Program. Adapted with nguistics.